## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

## TRANSMITTAL FORM

In re Application of: O'Lenick, et al.

Serial No:

Filed:

Ref:

Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir;

Please find enclosed the following components of an information disclosure statement:

- 1. PTO list of patents cited
- 2. Discussion under rule 98
- 3. Cited patents as follows;

5,786,389

Applicant respectfully contends that this package conforms to the provisions of 37 C.F.R. 1.98 and 37 C.F.R. 1.97. Applicant respectfully requests that the information contained herein be considered by the examiner in charge of the case.

Respectfully submitted;

Anthony J. O'Lenick Jr

Applicant

November 5, 2001 (678) 442-0210



## Discussion Under Rule 98

U.S. Patent 5,786,389 issued Jul. 28, 1998 discloses castor oil esters that are not phosphated. It demonstrates the state of the art, in that the compounds described are oil phases and without the phosphate group of the present invention are not water dispersible and consequently are not dispersants.

Respectfully submitted;

Anthony J. O'Lenick, Jr.

Applicant

May 31, 20001

(678) 442-0210